

Engineering Theories with Z3

Nikolaj Bjørner
Microsoft Research
IWIL March 10th 2012

Not so Hidden Agenda

Blatant, Shameless Propaganda

Z3 – An Efficient SMT Solver

Tutorial style

The diagram illustrates the flow of information from basic concepts to specific solvers. It starts with a blue box labeled 'Intro'. An arrow points from 'Intro' to a grey box labeled 'SMT?'. Another arrow points from 'SMT?' to a grey box labeled 'Z3?'. From 'Z3?', arrows point to four boxes: 'Lazy Reduction', 'Eager Reduction', 'Theory', and 'Solver'. The word 'Tutorial style' is written in red at the bottom of the slide.

Many techniques apply broadly to SMT solvers: Barcelogic, CVC, Ergo, Mathsat, OpenSMT, Yices, ...

Many tools already use techniques

.. But many more tools should really do it too.

Why Engineering Theories?

Support

*Rich Theories (and logics) with
Efficient Decision Procedures*

Auth

MSOL

Sequences

XDucers

Queues

ASP

DL

homomo
rphisms

Optimi
zation

Orders

Objects

HOL

MultiSets

BAPA

Strings

Reg.
Exprs.

NRA

NIA

Floats

f*

*

SAT

EUF

LRA

LIA

Arrays

Bit-Vectors

Alg. DT

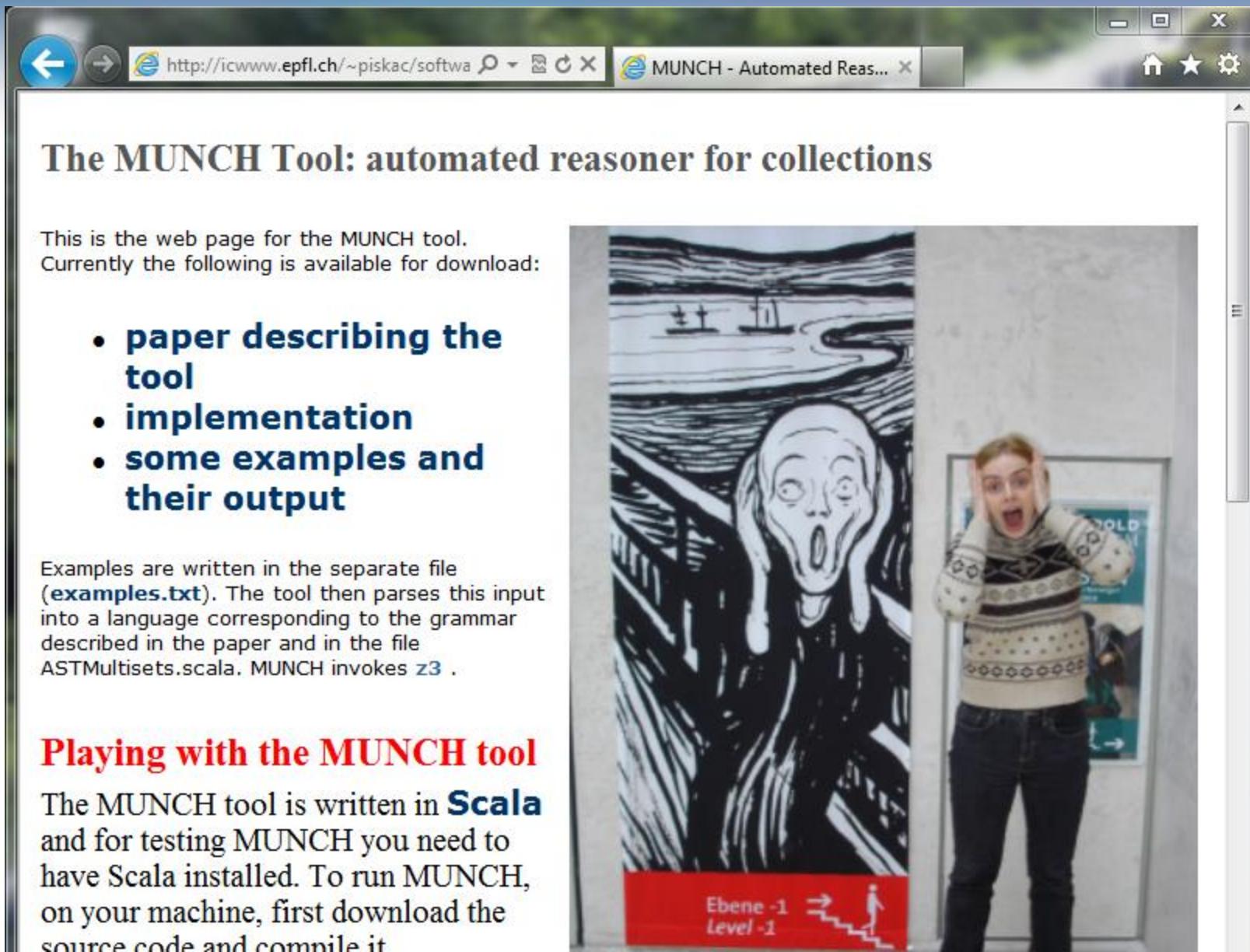
We review three methods:

**Theory Solver: Optimization,
Partial Orders**

Reduction: Object Types

Saturation: HOL

Goal: Tools to make users happy & productive



The screenshot shows a web browser window with the URL <http://icwww.epfl.ch/~piskac/softwa>. The page title is "MUNCH - Automated Reas...". The main content on the page is titled "The MUNCH Tool: automated reasoner for collections". It states: "This is the web page for the MUNCH tool. Currently the following is available for download:" followed by a bulleted list: • paper describing the tool • implementation • some examples and their output. Below this, it says: "Examples are written in the separate file ([examples.txt](#)). The tool then parses this input into a language corresponding to the grammar described in the paper and in the file `ASTMultisets.scala`. MUNCH invokes `z3` .". At the bottom left, there is a red banner with white text that reads "Ebene -1 Level -1" with a small icon of a person walking up stairs.

The MUNCH Tool: automated reasoner for collections

This is the web page for the MUNCH tool. Currently the following is available for download:

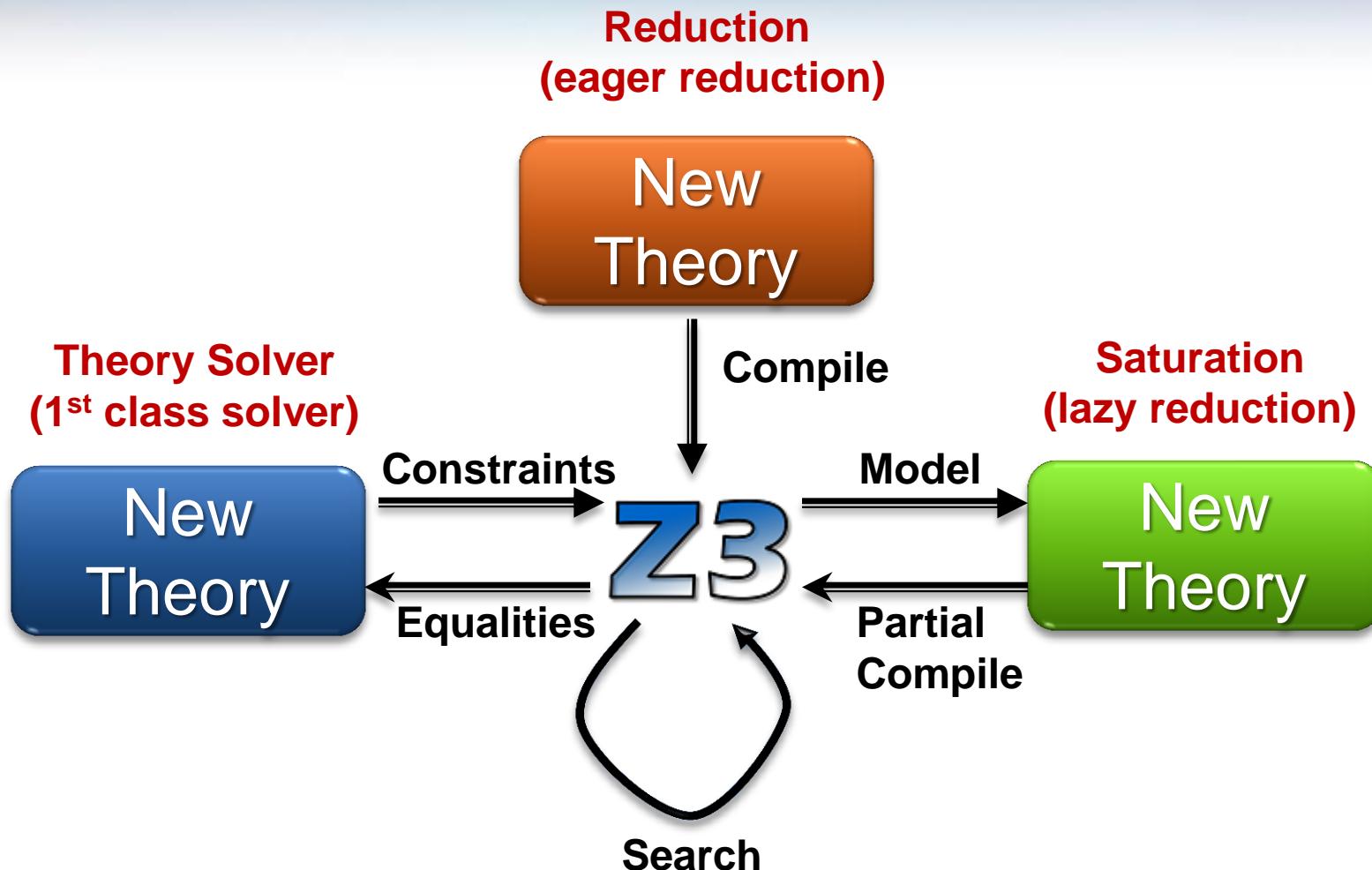
- **paper describing the tool**
- **implementation**
- **some examples and their output**

Examples are written in the separate file ([examples.txt](#)). The tool then parses this input into a language corresponding to the grammar described in the paper and in the file `ASTMultisets.scala`. MUNCH invokes `z3` .

Playing with the MUNCH tool

The MUNCH tool is written in **Scala** and for testing MUNCH you need to have Scala installed. To run MUNCH, on your machine, first download the source code and compile it.

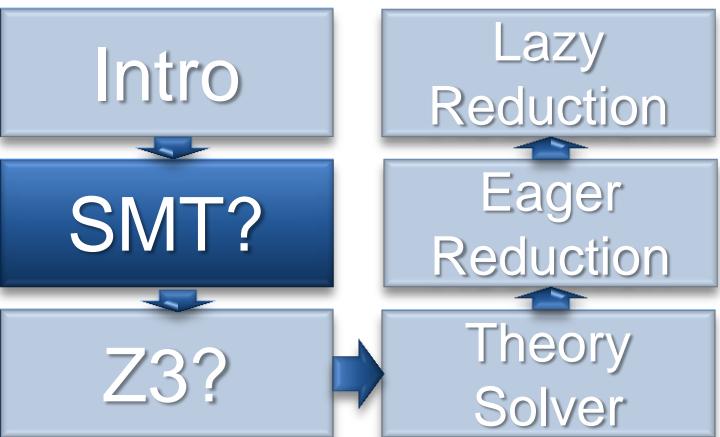
Overview of methods





SMT

What and Why



Satisfiability Modulo Theories (SMT)

Is formula φ satisfiable
modulo theory T ?

SMT solvers have
specialized algorithms for T

Satisfiability Modulo Theories (SMT)

$$x + 2 = y \Rightarrow f(select(store(a, x, 3), y - 2)) = f(y - x + 1)$$

Array Theory

Arithmetic

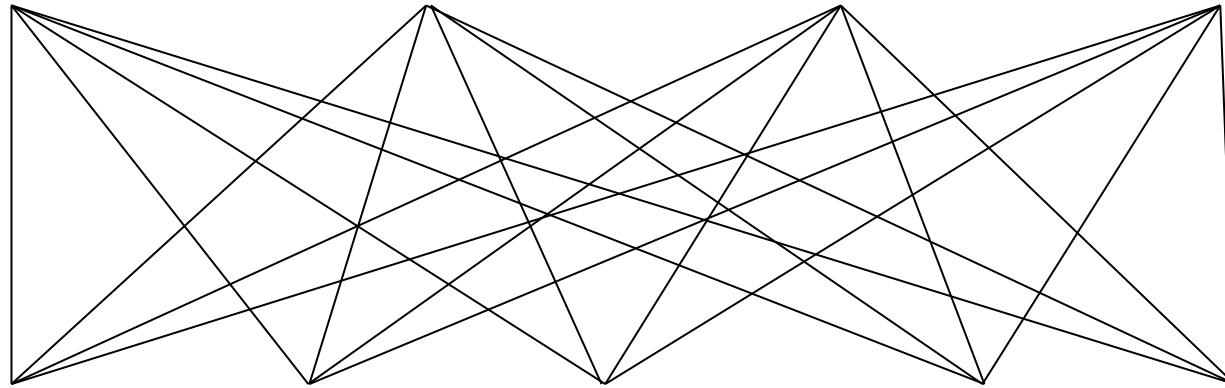
Uninterpreted
Functions

$$\begin{aligned} select(store(a, i, v), i) &= v \\ i \neq j \Rightarrow select(store(a, i, v), j) &= select(a, j) \end{aligned}$$

Job Shop Scheduling



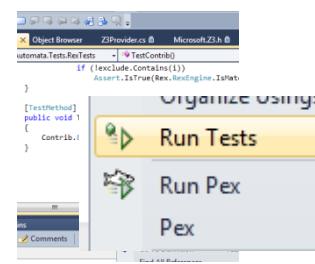
Machines



Tasks

Jobs

P = NP?

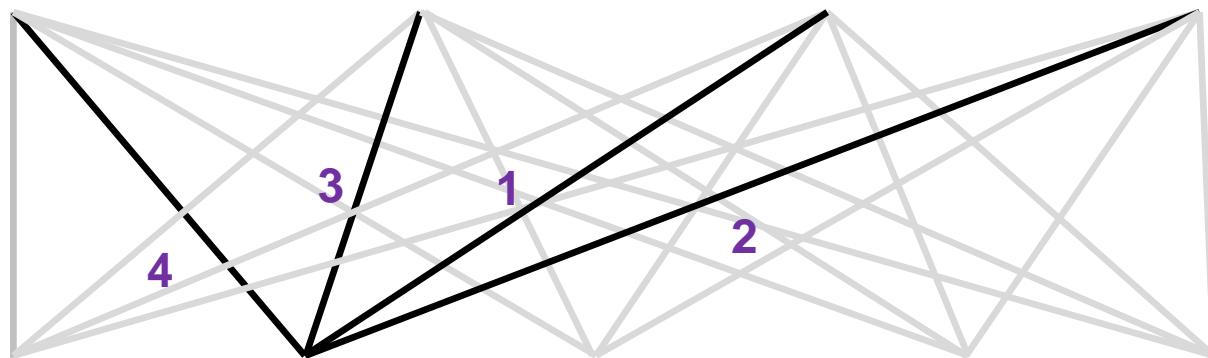


$$\zeta(s) = 0 \Rightarrow s = \frac{1}{2} + ir$$

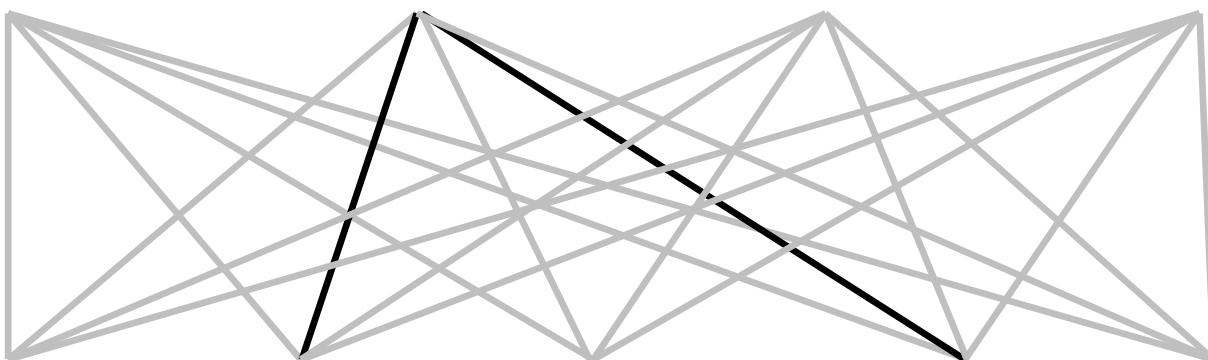
Job Shop Scheduling

Constraints:

Precedence: between two tasks of the same job



Resource: Machines execute at most one job at a time

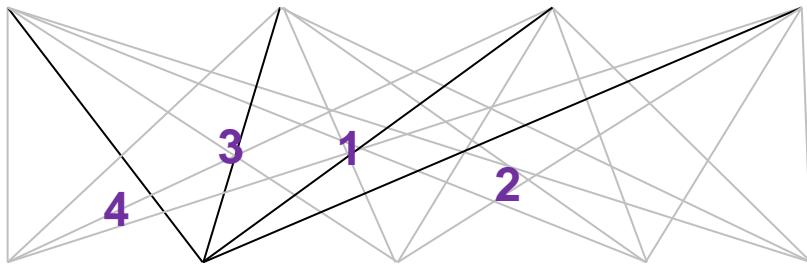


$$[start_{2,2}..end_{2,2}] \cap [start_{4,2}..end_{4,2}] = \emptyset$$

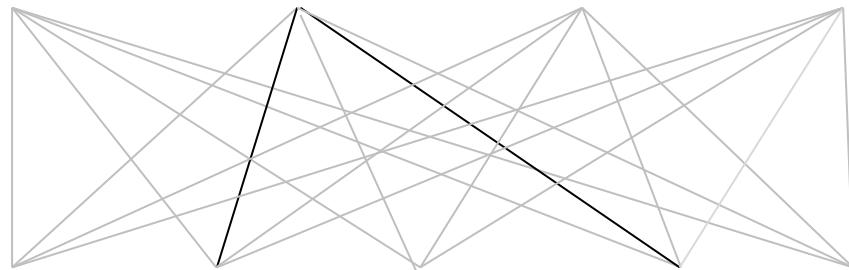
Job Shop Scheduling

Constraints:

Precedence:



Resource:



$$[start_{2,2} \dots end_{2,2}] \cap [start_{4,2} \dots end_{4,2}] = \emptyset$$

Encoding:

$t_{2,3}$ - start time of job 2 on mach 3

$d_{2,3}$ - duration of job 2 on mach 3

$$t_{2,3} + d_{2,3} \leq t_{2,4}$$

Not convex

$$t_{2,2} + d_{2,2} \leq t_{4,2}$$

$$\vee$$
$$t_{4,2} + d_{4,2} \leq t_{2,2}$$

Job Shop Scheduling

$d_{i,j}$	Machine 1	Machine 2
Job 1	2	1
Job 2	3	1
Job 3	2	3

$\max = 8$

Solution

$$t_{1,1} = 5, t_{1,2} = 7, t_{2,1} = 2, \\ t_{2,2} = 6, t_{3,1} = 0, t_{3,2} = 3$$

Encoding

$$(t_{1,1} \geq 0) \wedge (t_{1,2} \geq t_{1,1} + 2) \wedge (t_{1,2} + 1 \leq 8) \wedge \\ (t_{2,1} \geq 0) \wedge (t_{2,2} \geq t_{2,1} + 3) \wedge (t_{2,2} + 1 \leq 8) \wedge \\ (t_{3,1} \geq 0) \wedge (t_{3,2} \geq t_{3,1} + 2) \wedge (t_{3,2} + 3 \leq 8) \wedge \\ ((t_{1,1} \geq t_{2,1} + 3) \vee (t_{2,1} \geq t_{1,1} + 2)) \wedge \\ ((t_{1,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{1,1} + 2)) \wedge \\ ((t_{2,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{2,1} + 3)) \wedge \\ ((t_{1,2} \geq t_{2,2} + 1) \vee (t_{2,2} \geq t_{1,2} + 1)) \wedge \\ ((t_{1,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{1,2} + 1)) \wedge \\ ((t_{2,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{2,2} + 1))$$

Job Shop Scheduling

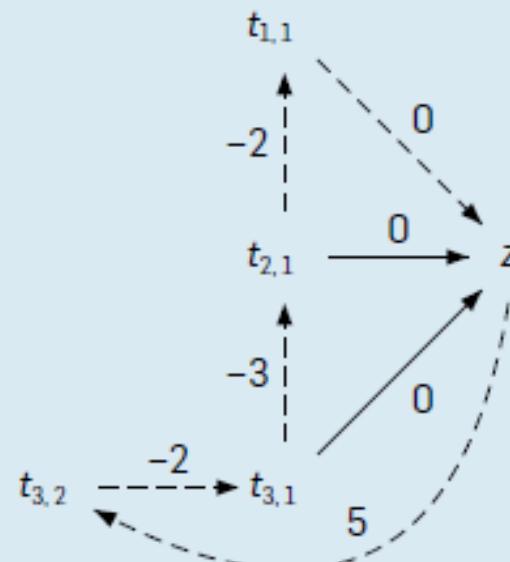
$$\begin{aligned}(t_{1,1} \geq 0) \wedge (t_{1,2} \geq t_{1,1} + 2) \wedge (t_{1,2} + 1 \leq 8) \wedge \\(t_{2,1} \geq 0) \wedge (t_{2,2} \geq t_{2,1} + 3) \wedge (t_{2,2} + 1 \leq 8) \wedge \\(t_{3,1} \geq 0) \wedge (t_{3,2} \geq t_{3,1} + 2) \wedge (t_{3,2} + 3 \leq 8) \wedge \\((t_{1,1} \geq t_{2,1} + 3) \vee (t_{2,1} \geq t_{1,1} + 2)) \wedge \\((t_{1,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{1,1} + 2)) \wedge \\((t_{2,1} \geq t_{3,1} + 2) \vee (t_{3,1} \geq t_{2,1} + 3)) \wedge \\((t_{1,2} \geq t_{2,2} + 1) \vee (t_{2,2} \geq t_{1,2} + 1)) \wedge \\((t_{1,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{1,2} + 1)) \wedge \\((t_{2,2} \geq t_{3,2} + 3) \vee (t_{3,2} \geq t_{2,2} + 1))\end{aligned}$$

case split

case split

Efficient solvers:

- Floyd-Warshall algorithm
- Ford-Fulkerson algorithm



$$z - z = 5 - 2 - 3 - 2 = -2 < 0$$

$$\begin{aligned}z - t_{1,1} &\leq 0 \\z - t_{2,1} &\leq 0 \\z - t_{3,1} &\leq 0 \\t_{3,2} - z &\leq 5 \\t_{3,1} - t_{3,2} &\leq -2 \\t_{2,1} - t_{3,1} &\leq -3 \\t_{1,1} - t_{2,1} &\leq -2\end{aligned}$$

Symbolic Engines: SAT, FTP and SMT

- SAT: Propositional Satisfiability.

$$(\text{Tie} \vee \text{Shirt}) \wedge (\neg \text{Tie} \vee \neg \text{Shirt}) \wedge (\neg \text{Tie} \vee \text{Shirt})$$

- FTP: First-order Theorem Proving.

$$\forall X, Y, Z [X^*(Y^*Z) = (X^*Y)^*Z]$$

$$\forall X [X^*\text{inv}(X) = e] \quad \forall X [X^*e = e]$$

- SMT: Satisfiability Modulo background Theories
 $b + 2 = c \wedge A[3] \neq A[c-b+1]$

SAT - Milestones

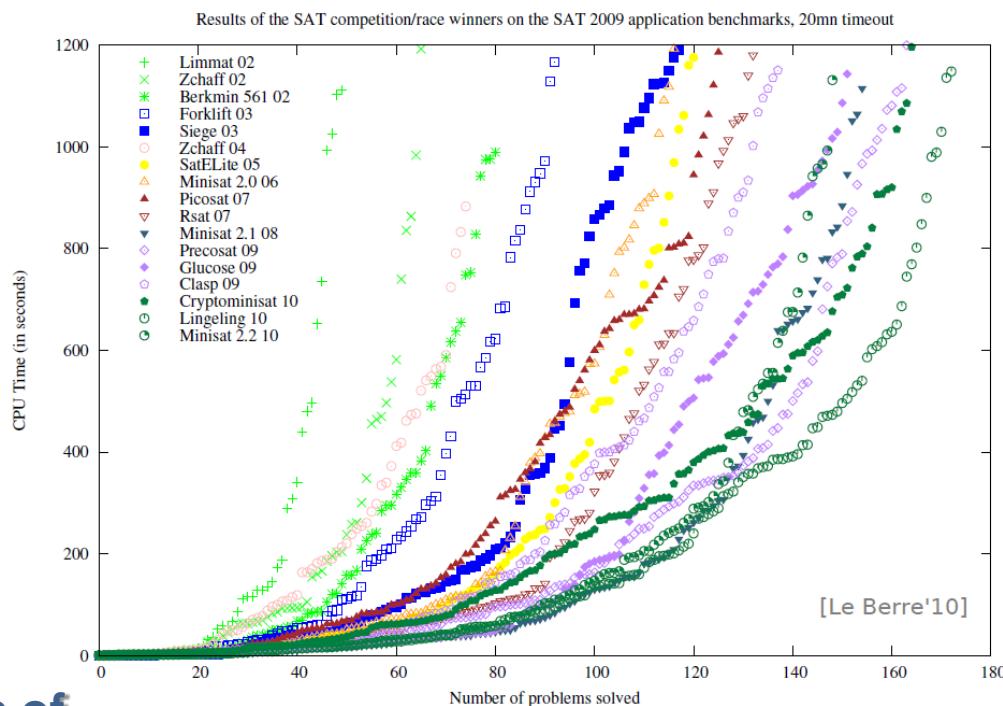
year	Milestone
1960	Davis-Putnam procedure
1962	Davis-Logeman-Loveland
1984	Binary Decision Diagrams
1992	DIMACS SAT challenge
1994	SATO: clause indexing
1997	GRASP: conflict clause learning
1998	Search Restarts
2001	zChaff: 2-watch literal, VSIDS
2005	Preprocessing techniques
2007	Phase caching
2008	Cache optimized indexing
2009	In-processing, clause management
2010	Blocked clause elimination

Problems impossible 10 years ago are trivial today

Concept

2002

2010



Millions of
variables from
HW designs

Courtesy Daniel le Berre

Microsoft®
Research

FTP - Milestones

Year	Milestone	Who	Year	Milestone	Who
1930	Hebrand's theorem	Herbrand		Completion and saturation	many people and provers
1934	Sequent calculi	Gentzen	1970	procedures	Knuth; Bendix
1934	Inverse method	Gentzen	1970	Knuth-Bendix ordering	Kowalski; Kuehner
1955	Semantic tableaux	Beth	1971	Selection function	Plotkin
	Herbrand-based theorem		1972	Built-in equational theories	
1960	proving	Wang Hao	1972	Prolog	Colmerauer
1960	Ordered resolution	Davis; Putnam	1974	Saturation algorithms	Overbeek
		Davis; Logemann; Loveland	1975	Completeness of paramodulation	Brand
1962	DLL	Maslov	1975	AC-unification	Stickel
1963	First-order inverse method	J. Robinson	1976	Resolution as a decision procedure	Joyner
1965	Unification	J. Robinson	1979	Basic paramodulation	Degtyarev
1965	First-order resolution	J. Robinson	1980	Lexicographic path orderings	Kamin; Levy
1965	Subsumption	J. Robinson	1985	Theory resolution	Stickel
1967	Orderings	Slagle		Definitional clause form	
1967	Demodulation or rewriting	Wos; G. Robinson; Carson; Shalla	1986	transformation	Plaisted; Greenbaum
1968	Model elimination	Loveland	1988	Superposition	Zhang
1969	Paramodulation	G. Robinson; Wos	1988	Model construction	Zhang
			1989	Term indexing	Stickel; Overbeek
			1990	General theory of redundancy	Bachmair; Ganzinger
			1992	Basic superposition	Nieuwenhuis; Rubio
			1993	First instance-based methods	Billon; Plaisted
			1993	Discount saturation algorithm	Avenhaus; Denzinger
			1998	Finite model finding using SAT	McCune
			2000	First-order DPLL	Baumgartner
			2003	iProver method	Ganzinger; Korovin
			2008	Sine selection	Hoder

Some success stories:

- Open Problems (of 25 years):
 $XCB: X \equiv ((X \equiv Y) \equiv (Z \equiv Y)) \equiv Z$
is a single axiom for equivalence
- Knowledge Ontologies
GBs of formulas

Courtesy Andrei Voronkov, Manchester U

SMT - Milestones

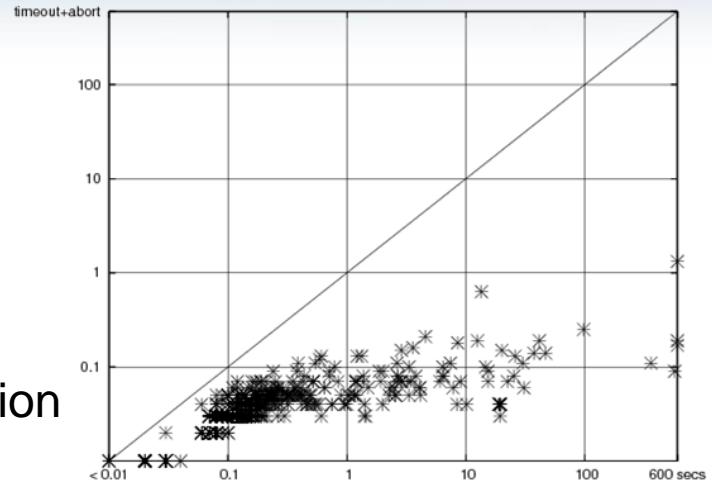
year	Milestone
1977	Efficient Equality Reasoning
1979	Theory Combination Foundations
1979	Arithmetic + Functions
1982	Combining Canonizing Solvers
1992-8	Systems: PVS, Simplify, STeP, SVC
2002	Theory Clause Learning
2005	SMT competition
2006	Efficient SAT + Simplex
2007	Efficient Equality Matching
2009	Combinatory Array Logic, ...

Includes progress from SAT:



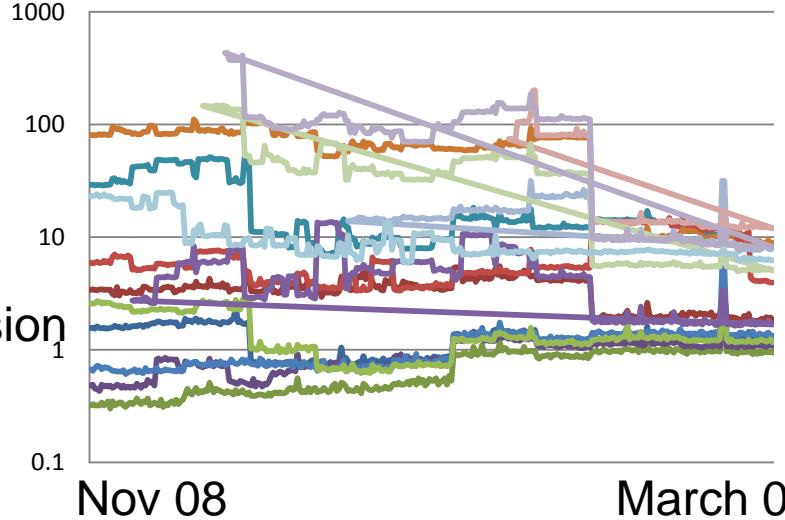
15KLOC + 285KLOC = Z3

Z3
(of '07)
Time
On
Boogie
Regression



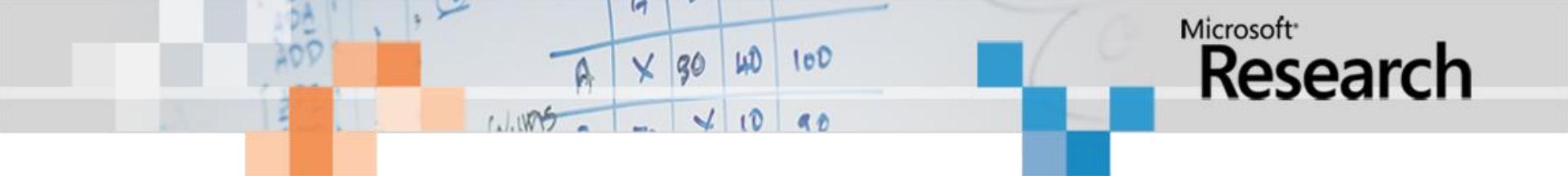
Simplify (of '01) time

Z3
Time
On
VCC
Regression



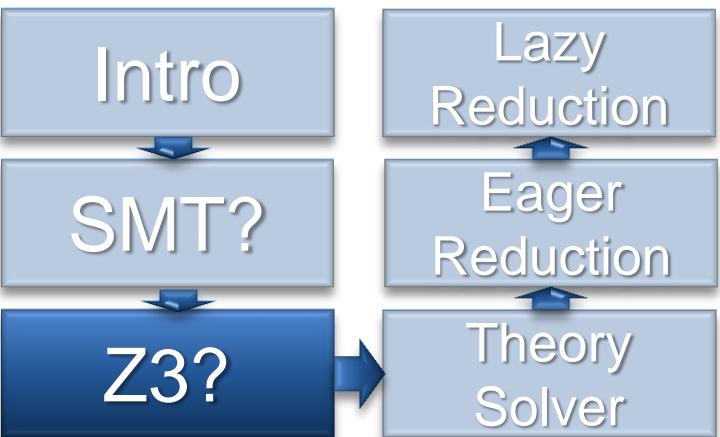
Nov 08

March 09



Z3

An Efficient SMT Solver From Microsoft Research



By Leonardo de Moura, Nikolaj Bjørner,
Christoph Wintersteiger

Z3: Little Engines of Proof



Freely available from <http://research.microsoft.com/projects/z3>

Microsoft
Research

Research around Z3

Decision Procedures

Modular Difference Logic is Hard

Linear Functional Fixed-points.

A Priori Reductions to Zero for Strategy-Independent Gröbner Bases SYNASC 09 M& Passmore.

Efficient, Generalized Array Decision Procedures

Quantifier Elimination as an Abstract Decision Procedure

Cutting to the Chase

TR 08 B, Blass Gurevich, Muthuvathi.

CAV 09 B. & Hendrix.

FMCAD 09 M & B

IJCAR 10, B

CADE 11, Jojanovich, M

Combining Decision Procedures

Model-based Theory Combination

Accelerating Lemma learning using DPLL(U)

Proofs, Refutations and Z3

On Locally Minimal Nullstellensatz Proofs.

A Concurrent Portfolio Approach to SMT Solving

Conflict Directed Theory Resolution

SMT 07 M & B. .

LPAR 08 B, Dutetra & M

IWIL 08 M & B

SMT 09 M & Passmore.

CAV 09 Wintersteiger, Hamadi & M

Cambridge Univ. Press 12, M & B

Quantifiers, quantifiers, quantifiers

Efficient E-matching for SMT Solvers.

Relevancy Propagation.

Deciding Effectively Propositional Logic using DPLL and substitution sets IJCAR 08 M & B.

Engineering DPLL(T) + saturation.

Complete instantiation for quantified SMT formulas

On deciding satisfiability by DPLL($\Gamma + T$) and unsound theorem proving.

CADE 07 M & B.

TR 07 M & B.

IJCAR 08 M & B.

CAV 09 Ge & M.

CADE 09 Bonacina, M & Lynch

Fast and powerful



The job selection includes SMT-COMP 2011, the official SMT-COMP'11 competition run

Solver	Score	Time
Z3	201 / 205	175 s
MathSAT5	199 / 205	912 s
CVC4 1.0rc2	198 / 205	5292.0 s
veriT	197 / 205	8924.1 s
opensmt	187 / 205	8968.3 s
SMT-COMP 2011 (historical entry)	181 / 202	7360.5 s
CVC3 v2.4	179 / 202	6714.6 s
MathSAT 5, 2010 winner	177 / 202	6699.4 s
smtlib2parser+Yices (2010)	175 / 202	175 s

<http://smtcomp.org>

Login

Summary view | Cluster status | Benchmarks | SMT-COMP Competitors | State-of-the-Art Solvers | Feedback | [Login](#)

Selected timezone: [UTC] Job focus [all | invert | reset]: SMT-COMP'11 application track | [SMT-COMP 2011](#) | try. | Ira-5years-mathsat | 2006-LRA rerun [show more]

Get HELP: navigation | page] 1 job selected [status | help]

SMT-Exec

Summary View

QF_UF (100%)

QF_AUFL (100%)

QF_IDL (100%)

**Z3 is
Free
Software**
for research

Microsoft Research

Theories

- Uninterpreted
- Arithmetic (linear)
- Bit-vectors
- Algebraic data
- Arrays
- User-defined

The screenshot shows a web browser window for <http://rise4fun.com/Z3>. The title bar says "RiSE4fun". Below the title bar, a message says "Click on a tool to load a sample then ask!" followed by a list of tools: agl, bek, boogie, code contracts, concurrent revisions, dafny, esm, fine, heapdbg, poirot, pex, rex, spec#, vcc, and z3. The z3 button is highlighted. The main content area contains SMT-LIB code:

```
(declare-datatypes ((list (nil) (cons (hd Int) (tl list)))))  
(declare-funs ((l1 list) (l2 list)))  
(assert (not (= l1 nil)))  
(assert (not (= l2 nil)))  
(assert (= (hd l1) (hd l2)))  
(assert (= (tl l1) (tl l2)))  
(assert (not (= l1 l2)))  
(check-sat)
```

ask z3 Is this SMT formula satisfiable? Click 'ask Z3'! Read more or watch the video.

unsat

Some Microsoft tools using Z3

Property Driven



♦ F7 1.0



F7 is created to be a simple to use yet ideal typechecker for the F# programming language. F7 supports static checking of properties expressed with refinement types.

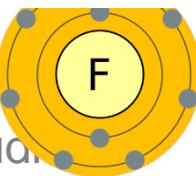
Price: FREE

Execution Guided



Details
Screenshot

F7 is created to be a simple to use yet ideal typechecker for the F# programming language. F7 supports static checking of properties expressed with refinement types.



Aud. **HAVOC**

Type Safety **SLAyer**

BOOGIE

Model Based



The Spec# Programming System

Testing

M3

Analysis



BEK

FORMULA
Modeling Foundations.



Synthesis

Microsoft®
Research

Over-
Approximation



Under-
Approximation

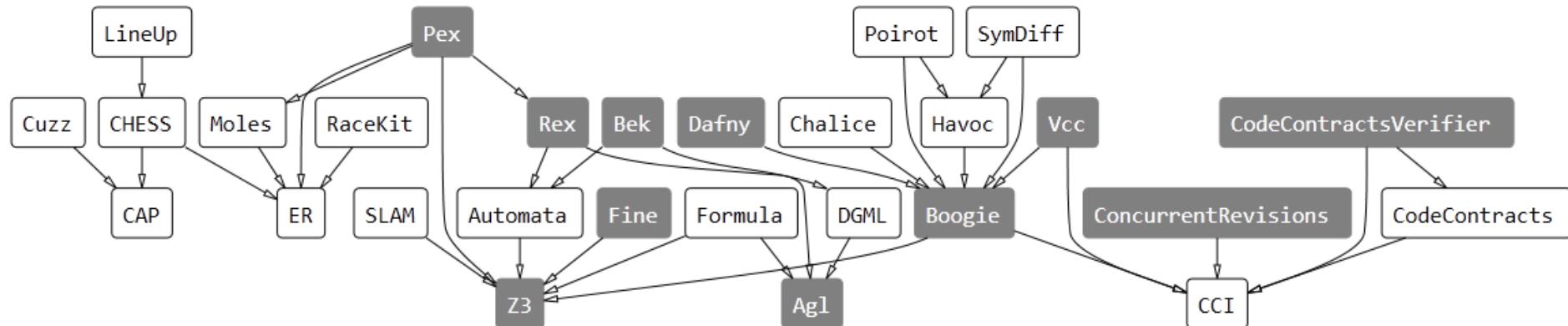
SAGE



Some Microsoft tools using Z3

Ask Agl!

What does this dot graph look like? Ask [Agl!](#)!



This tool requires a browser with *Scalable Vector Graphics (SVG)* support.

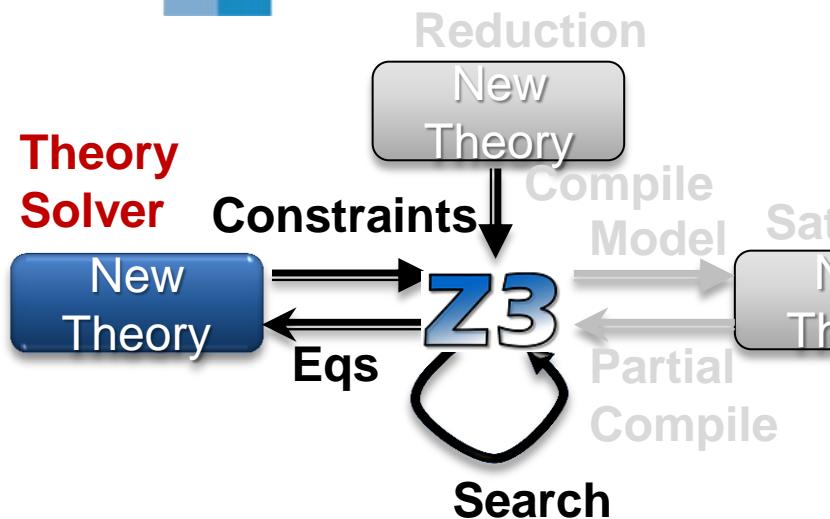
[explore](#) [projects](#) [live](#) [permalink](#) [developer](#) [about](#)

© 2010 Microsoft Corporation - Research in Software Engineering (RiSE) - [Terms of Use](#) - [Privacy](#)

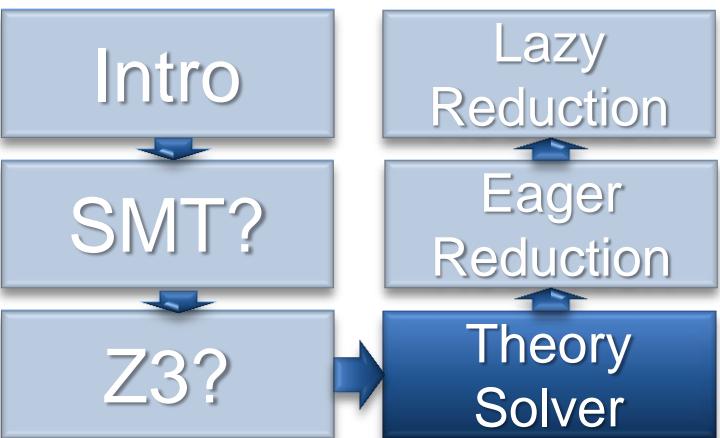
Microsoft
Research RiSE

<http://rise4fun.com>

Optimization



Get More Satisfaction with SMT



Weighted MaxSMT

<i>Name</i>	<i>Formula</i>	<i>weight</i>
F_0	$a \vee b \vee x \geq 2$	∞
F_1	$\neg a \vee x \geq 3$	3
F_2	$\neg b \vee x \geq 3$	4
F_3	$x < 2$	5

Unsat

Weighted MaxSMT

<i>Name</i>	<i>Formula</i>	<i>weight</i>	
F_0	$a \vee b \vee x \geq 2$	∞	Penalty: ∞
F_1	$\neg a \vee x \geq 3$	3	
F_2	$\neg b \vee x \geq 3$	4	Sat $\neg a \wedge \neg b \wedge x < 2$
F_3	$x < 2$	5	

Weighted MaxSMT

<i>Name</i>	<i>Formula</i>	<i>weight</i>	
F_0	$a \vee b \vee x \geq 2$	∞	
F_1	$\neg a \vee x \geq 3$	3	Sat $\neg a \wedge b \wedge x = 2$
F_2	$\neg b \vee x \geq 3$	4	
F_3	$x < 2$	5	Penalty: $9 = 4 + 5$

Weighted MaxSMT

<i>Name</i>	<i>Formula</i>	<i>weight</i>	
F_0	$a \vee b \vee x \geq 2$	∞	
F_1	$\neg a \vee x \geq 3$	3	
F_2	$\neg b \vee x \geq 3$	4	Sat $\neg a \wedge \neg b \wedge x \geq 2$
F_3	$x < 2$	5	Penalty: 5

Weighted MaxSMT

<i>Name</i>	<i>Formula</i>	<i>weight</i>	
F_0	$a \vee b \vee x \geq 2$	∞	
F_1	$\neg a \vee x \geq 3$	3	Penalty: 3
F_2	$\neg b \vee x \geq 3$	4	
F_3	$x < 2$	5	Sat $a \wedge \neg b \wedge x < 2$

Weighted MaxSMT

<i>Formula</i>	<i>weight</i>	Initially: All atoms are unassigned <i>Cost</i> = 0
$a \vee b \vee x \geq 2$	∞	
$F_1 \vee \neg a \vee x \geq 3$	3	Assert $\neg a \wedge b \wedge x < 2$
$F_2 \vee \neg b \vee x \geq 3$	4	Propagate: F_2 : $Cost := Cost + 4 := 4$
$F_3 \vee x < 2$	5	Best so far: $MinCost = 4$

```
let block() =
  let offender = optimize_cost ctx costs min_cost
  th.AssertTheoryAxiom(ctx.MkNot(ctx.MkAnd offender))
let Assign p v1 =
  if v1 then
    let w = weight p in
    cost <- cost + w;
    tail.Add (fun () -> cost <- cost - w)
    costs <- (v1, w) :: costs;
    tail.Add (fun () -> costs <- List.tail costs)
    if cost > min_cost then
      block()
let _ = th.NewAssignment <- (fun p v1 -> Assign p v1)
```

What does it take to encode this in Z3?

Add Axiom $\neg F_2$ - backtrack

Assert F_3 $Cost = 5 > MinCost$

Add Axiom $\neg F_3$ - backtrack

.... **Assert** $a \wedge \neg b \wedge x < 2 \wedge F_1$

Principles of Modern SMT solvers in two slides

Modern DPLL in a nutshell

Initialize	$\epsilon \mid F$	F is a set of clauses
Decide	$M \mid F \Rightarrow M, \ell \mid F$	ℓ is unassigned
Propagate	$M \mid F, C \vee \ell \Rightarrow M, \ell^{C \vee \ell} \mid F, C \vee \ell$	C is false under M
Conflict	$M \mid F, C \Rightarrow M \mid F, C \mid C$	C is false under M
Resolve	$M \mid F \mid C' \vee \neg \ell \Rightarrow M \mid F \mid C' \vee C$	$\ell^{C \vee \ell} \in M$
Learn	$M \mid F \mid C \Rightarrow M \mid F, C \mid C$	
Backjump	$M \neg \ell M' \mid F \mid C \vee \ell \Rightarrow M \ell^{C \vee \ell} \mid F$	C has no literals in M'
Unsat	$M \mid F \mid \emptyset \Rightarrow Unsat$	
Sat	$M \mid F \Rightarrow M$	F true under M
Restart	$M \mid F \Rightarrow \epsilon \mid F$	

DPLL(T) solver interaction

T- Propagate $M \mid F, C \vee \ell \Rightarrow M, \ell^{C \vee \ell} \quad | \quad F, C \vee \ell \quad C \text{ is false under } T + M$

T- Conflict $M \mid F \Rightarrow M \mid F \mid \neg M' \quad M' \subseteq M \text{ and } M' \text{ is false under } T$

T- Propagate $a > b, b > c \quad | \quad F, a \leq c \vee b \leq d \Rightarrow$

$a > b, b > c, b \leq d^{a \leq c \vee b \leq d} \quad | \quad F, a \leq c \vee b \leq d$

T- Conflict $M \mid F \Rightarrow M \mid F, a \leq b \vee b \leq c \vee c < a$

where $a > b, b > c, a \leq c \subseteq M$

How does Z3 enable T solvers?

DPLL(T) Solver Interaction

Calls into DPLL engine

Callbacks from DPLL engine
T-Propagate
with new assignment



T-Propagate



T-Conflict

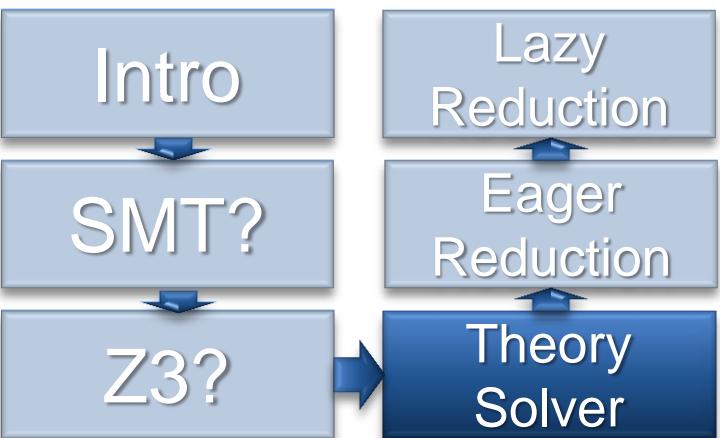


Calls into DPLL engine

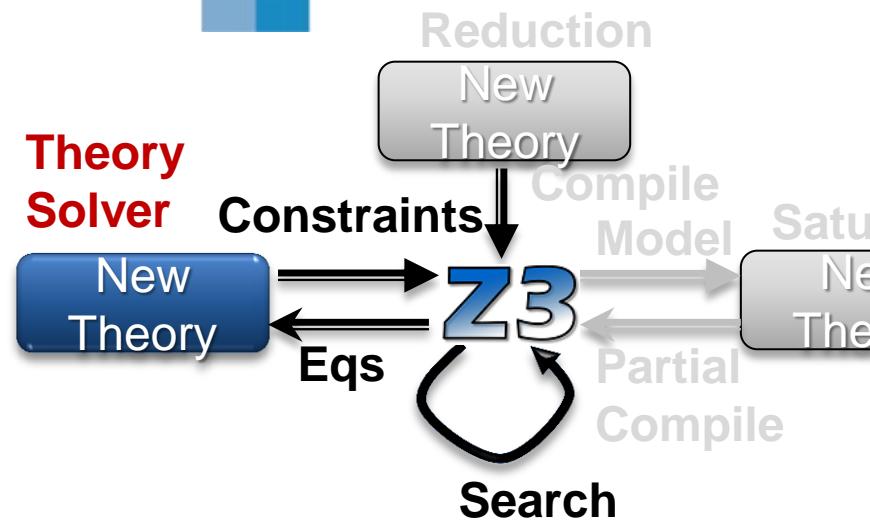
Callbacks from DPLL engine

```
let mutable cost = 0
let mutable costs = []
let mutable min_cost = Int32.max_int
let mutable weights = Dictionary<Term,int>()
let th = ctx.MkTheory("opt")
let block() =
    let offender = optimize_cost ctx costs min_cost
    th.AssertTheoryAxiom(ctx.MkNot(ctx.MkAnd offender))
let Assign p vl =
    if vl then
        let w = weights.[p]
        cost <- cost + w;
        trail.Add (fun () -> cost <- cost - w)
        costs <- (w,p)::costs;
        trail.Add (fun () -> costs <- List.tail costs)
        if cost > min_cost then
            block()
    |
let FinalCheck() =
    if cost < min_cost then
        min_cost <- cost
    block()
    true
let _ = th.NewAssignment <- (fun p vl -> Assign p vl)
let _ = th.FinalCheck <- (fun () -> FinalCheck())
let _ = th.Pop <- (fun () -> trail.Pop())
let _ = th.Push <- (fun () -> trail.Push())
```

Partial Orders & Object Hierarchies



Acyclic graphs and SMT

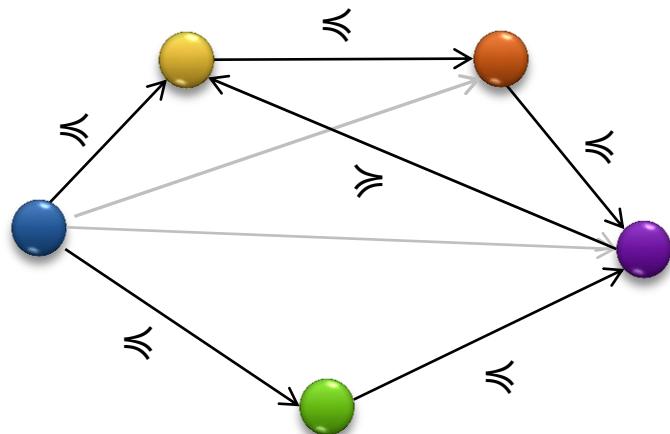


Partial Orders as Acyclic Graphs

$$\forall x. x \leqslant x$$

$$\forall x, y. x \leqslant y \wedge y \leqslant x \rightarrow x = y$$

$$\forall x, y, z. x \leqslant y \wedge y \leqslant z \rightarrow x \leqslant z$$



Elements are equal
in strongly connected
components

$$\text{Orange} = \text{Purple} = \text{Yellow}$$

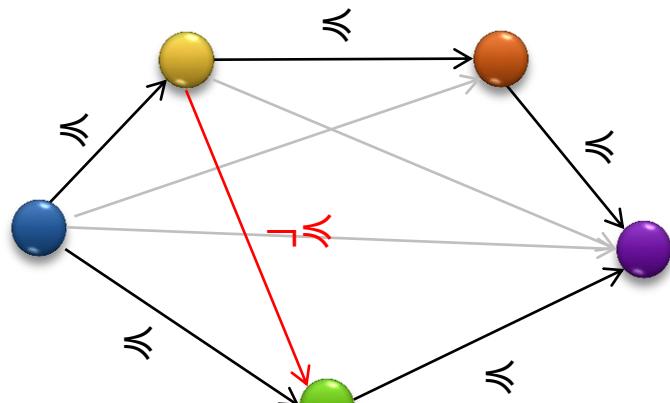
Partial Orders as Acyclic Graphs

Checking
negations

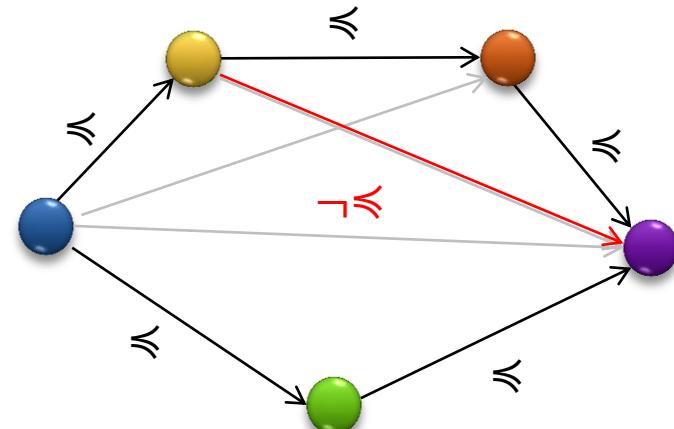
$$\forall x. x \leqslant x$$

$$\forall x, y. x \leqslant y \wedge y \leqslant x \rightarrow x = y$$

$$\forall x, y, z. x \leqslant y \wedge y \leqslant z \rightarrow x \leqslant z$$



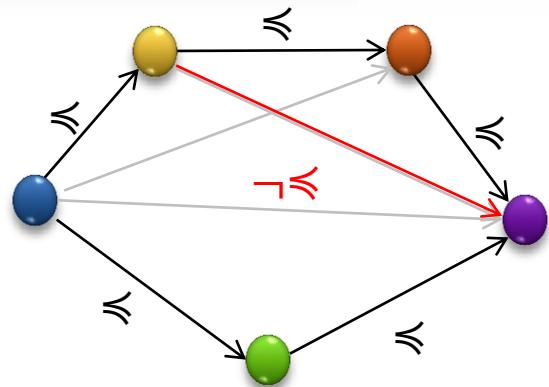
OK



Not OK

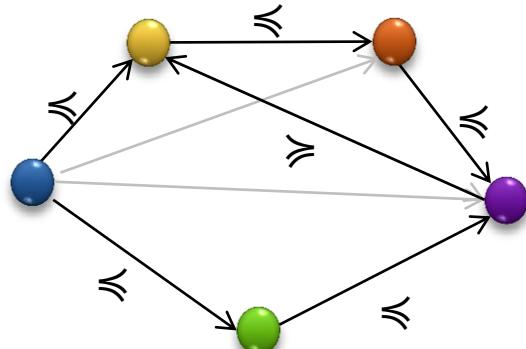
Partial Orders as Acyclic Graphs

Checking Consistency of $\neg(x \leq y)$:



Is there is a \leq path from to ?

Extracting Equalities from \leq using strongly connected components:

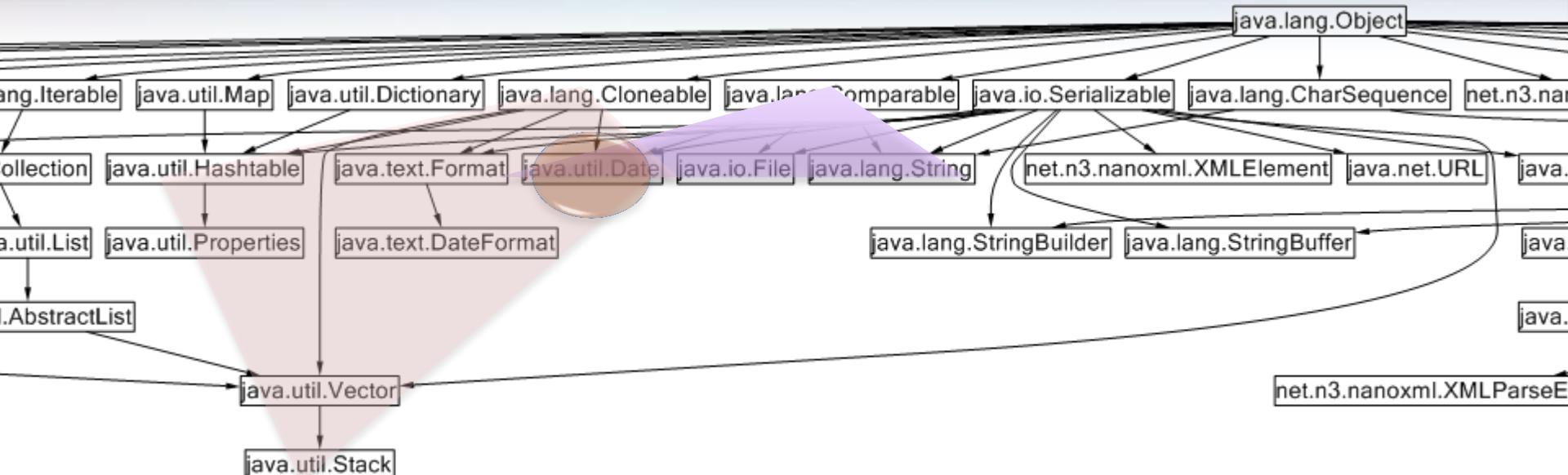


```
let FinalCheck() =
    for t in terms do      // connect equal terms.
        let tr = th.GetEqcRoot t
        if tr <> t then
            add_eq t tr
    done
    check_not_leqs()      // check negations
    add_implied_eqs()    // propagate equalities
    true
```

```
let NewAssignment (a:Term) v =
    assert (is_leq a)
    let args = a.GetAppArgs()
    let t1, t2 = args.[0], args.[1]
    add_term t1; add_term t2
    if v then
        g.AddEdge t1 t2
    else
        trail.Add (fun () -> not_leqs <- List.tail not_leqs)
        not_leqs <- (t1,t2)::not_leqs
```

```
let initialize() =
    th.FinalCheck <- (fun () -> FinalCheck())
    th.NewAssignment <- (fun a v -> NewAssignment a v)
    th.Push <- (fun () -> trail.Push())
    th.Pop <- (fun () -> trail.Pop())
```

Inheritance as table-lookup



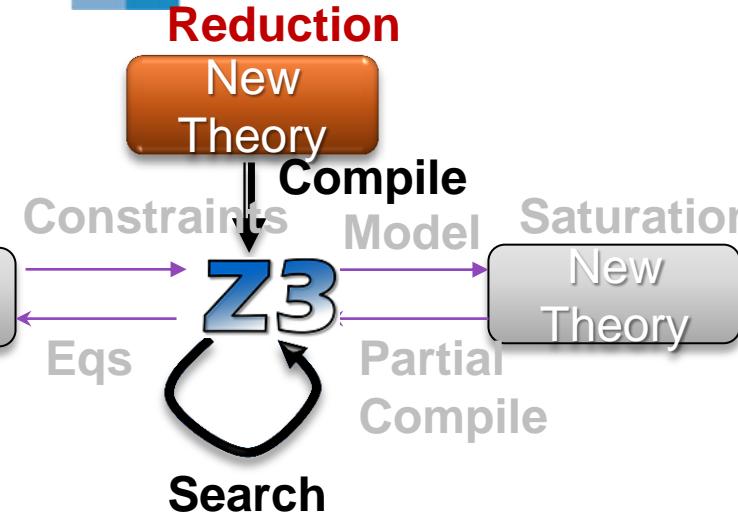
$x \leqslant \text{java.lang.Comparable}$

$x \leqslant \text{java.lang.Cloneable}$

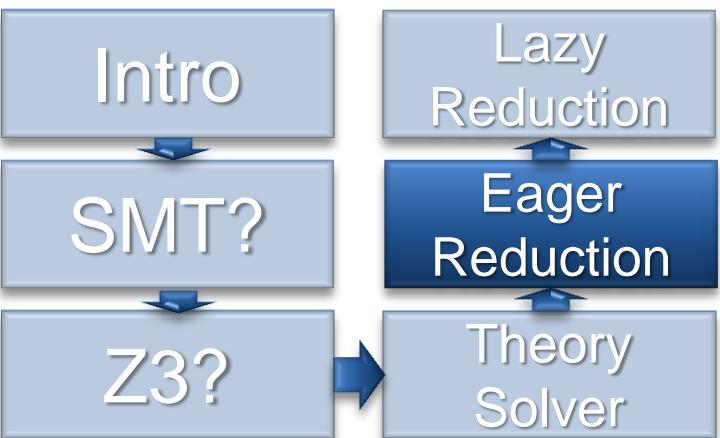
$x = \text{java.util.Date}$

Efficient propagators using
Type Slicing algorithm
Leverages ordering of children
J. Gil and Y. Zibin.[TOPLAS 2007]

Available as F#/Z3 sample



Object Graphs



To Cycle *and* not to Cycle

from Pex



A Theory of Objects

```
class O {  
  
    public readonly D d;  
    public readonly O left;  
    public O right;  
  
    public O(D data,  
            O left,  
            O right) {  
        this.data = data;  
        this.left = left;  
        this.right = right;  
    }  
}
```

Read-only fields

```
void f(O n0) {  
  
    Assert (n0 == null ||  
            n0.left != n0);  
  
    O n1 = new O(1, null, null);  
    O n2 = new O(2, n1, null);  
    O n3 = new O(2, n1, null);  
  
    Assert(n2 != n3);  
  
    n1.right = n2;  
    n2.right = n1;  
    ...  
}
```

Heap can be updated

Objects are
non-extensional

A Theory of Objects

sorts: O ,

constructors: $\text{null} : O$, $O : H \times D \times O \times O \rightarrow H \times O$,

accessors: $\text{data} : H \times O \rightarrow D$, $\text{left} : H \times O \rightarrow O$, $\text{right} : H \times O \rightarrow O$,

modifiers: $\text{update-right} : H \times O \times O \rightarrow H$

$$(h', o) = O(h, d, l, r) \implies o \neq \text{null}$$

$$(h', o) = O(h, d, l, r) \implies \text{data}(h', o) = d$$

$$(h', o) = O(h, d, l, r) \implies \text{left}(h', o) = l$$

$$o \neq \text{null} \implies \text{left}(\text{left}(\text{left}(\dots \text{left}(h_n, o)))) \neq o$$

$$h' = \text{update-right}(h, o, r) \wedge o' \neq o \implies \text{right}(h', o') = \text{right}(h, o')$$

$$h' = \text{update-right}(h, o, r) \implies \text{left}(h', o') = \text{left}(h, o')$$

$$h' = \text{update-right}(h, o, r) \implies \text{data}(h', o') = \text{data}(h, o')$$

Encoding: Heaps as Arrays

Domains: objects are Natural numbers, left child is a smaller number

$$O = N$$

$$H = \langle data : O \Rightarrow D, left : O \Rightarrow O, right : O \Rightarrow O, clock : N \rangle$$

Most axioms follow by function definitions.

$$right(\langle data, left, right, clock \rangle, o) = select(right, o)$$

$$update_right(\langle data, left, right, clock \rangle, o, r) = \langle \dots, store(right, o, r), \dots, clock \rangle$$

Only Axiom: Instantiate for every occurrence of $left(h, o)$

$$\forall h : H, o : O . o \neq null \implies 0 \leq left(h, o) < o$$

Encoding: Heaps as Arrays+Data-Types

Domains: read-only fields use algebraic data-types

$$O = \text{null} \mid O(id : N, data : D, left : O)$$

$$H = \langle right : O \Rightarrow O, clock : N \rangle$$

Most axioms follow by function definitions.

$$\text{left}(h, O(id, d, l)) = l$$

$$\text{left}(h, \text{null}) = \text{null}$$

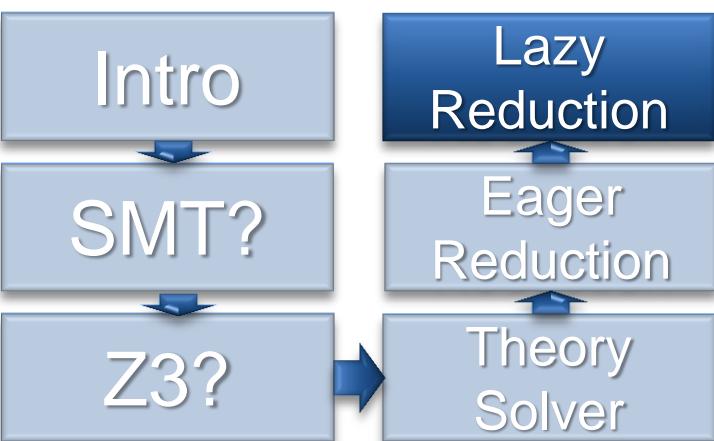
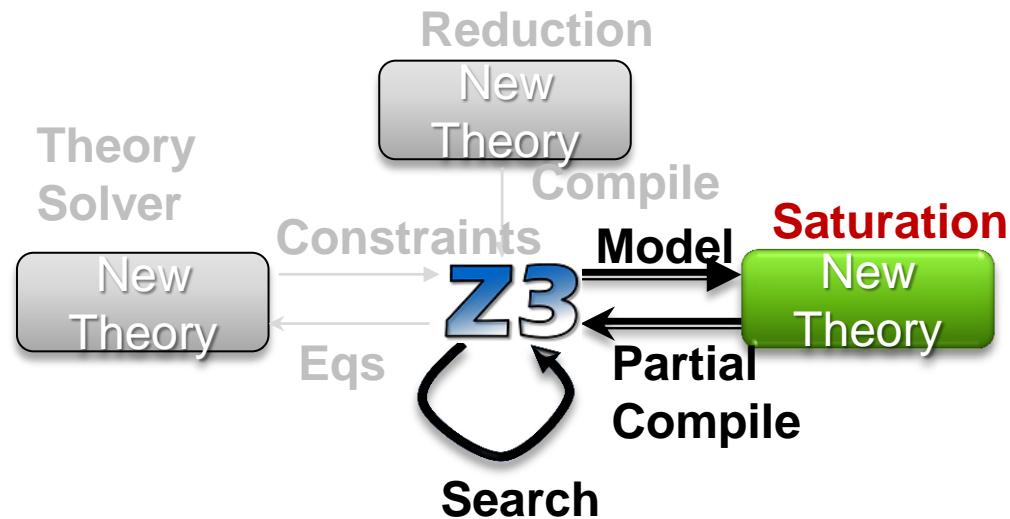
$$\text{right}(\langle right, clock \rangle, o) = \text{select}(right, o)$$

$$\text{update_right}(\langle right, clock \rangle, o, r) = \langle \text{store}(right, o, r), clock \rangle$$

No Extra Axiom: Data-type theory enforces acyclicity over *left*

⇒ More efficient search

HOL



Z3 at the service of
 $\Gamma, \Pi, \Sigma, \alpha, \beta, \lambda, \eta, \kappa, *, \square$

Types and Z3 do mingle

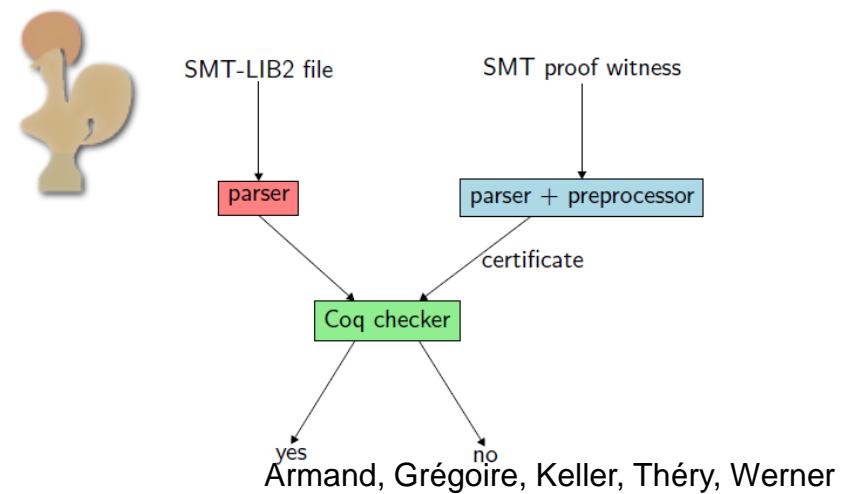
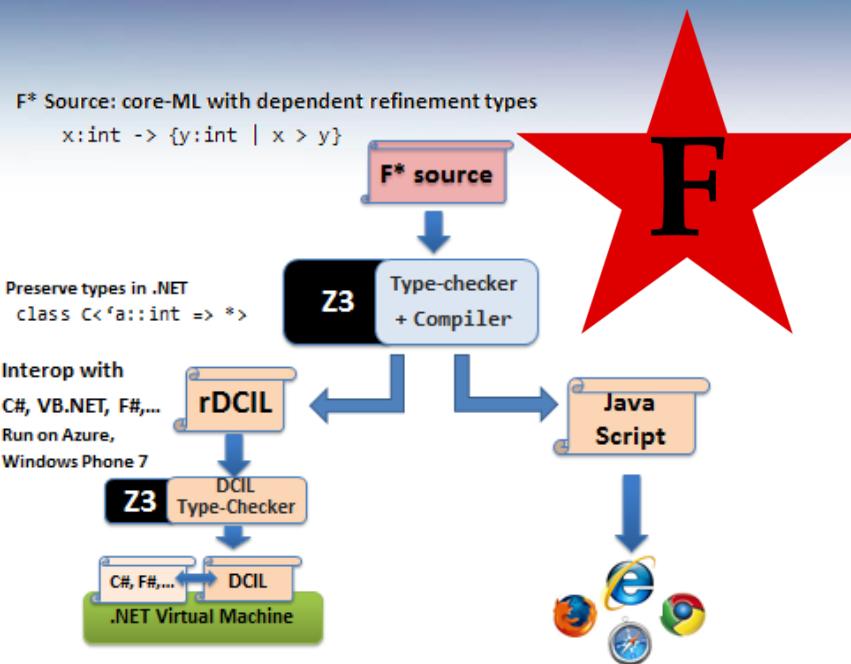


Liquid Types

LeonOnline

A screenshot of the LeonOnline interface. On the left is a code editor window displaying Scala code for an amortized queue. The code defines classes like `AmortizedQueue`, `List`, `AbsQueue`, and `Queue`. It includes methods for `size`, `content`, `asList`, `concat`, and `isAmortized`. On the right, a status bar shows the message "Vérité !". Below the editor is a table titled "Vérité !" with four rows:

	postcond.	valid	Z3-f	0.011
concat	postcond.	valid	Z3-f	0.028
reverse	postcond.	valid	Z3-f	0.008
amortizedQueue	postcond.	valid	Z3-f	0.003



But
Used for First-Order
Theorems

Sure, often HOL (problem)

[Re: Higher-order problem](#)

 Jasmin Blanchette <jasmin.blanchette@gmail.com>

 This message is part of a tracked conversation. Click here to find all related messages or to open the thread.

sent: Wed 2/22/2012 9:25 AM

to:  Nikolaj Bjorner

cc:  Philippe Suter

 Message  Ohnithy (4 KB)

~~Recall that~~
~~in disguise~~

I see. To me, anything Z3 could do with lambdas would be good, because "Z3 + lambda-lifting >> Satallax", where ">>" means "proves much more goals". Most Isabelle problems tend to be only mildly higher-order -- ninety-something percent of the reasoning is first-order, hence the desire to leverage efficient first-order provers. I'm very excited about this research of yours and would be happy to help.



"We are all faced with a series of great opportunities brilliantly disguised as unsolvable problems."

John W. Gardner



"For every problem there is a solution which is simple, clean and wrong."

Henry Louis Mencken



Digression: CAL

CAL – Combinatory Array Logic

$$\text{store}(a, i, v) = \lambda j. \mathbf{if} \ i = j \ \mathbf{then} \ v \ \mathbf{else} \ a[j]$$

$$K(v) = \lambda j . v$$

$$\text{map}_f(a, b) = \lambda j . f(a[j], b[j])$$

Existential fragment is in NP by reduction to congruence closure using polynomial set of instances.

**but can we do something
more HOLish?**

e.g.,

$$\begin{aligned} \forall f. (\forall x, y. f(x) = f(y) \rightarrow x = y) \\ \rightarrow \exists g. \forall x. x = g(f(x)) \end{aligned}$$

Idea: Saturate for Henkin Models

Types

$$\sigma ::= i \mid o \quad \tau ::= \sigma \mid \tau \rightarrow \tau$$

Terms

$$M, N ::= \lambda x : \tau. M \mid (M\ N) \mid x$$

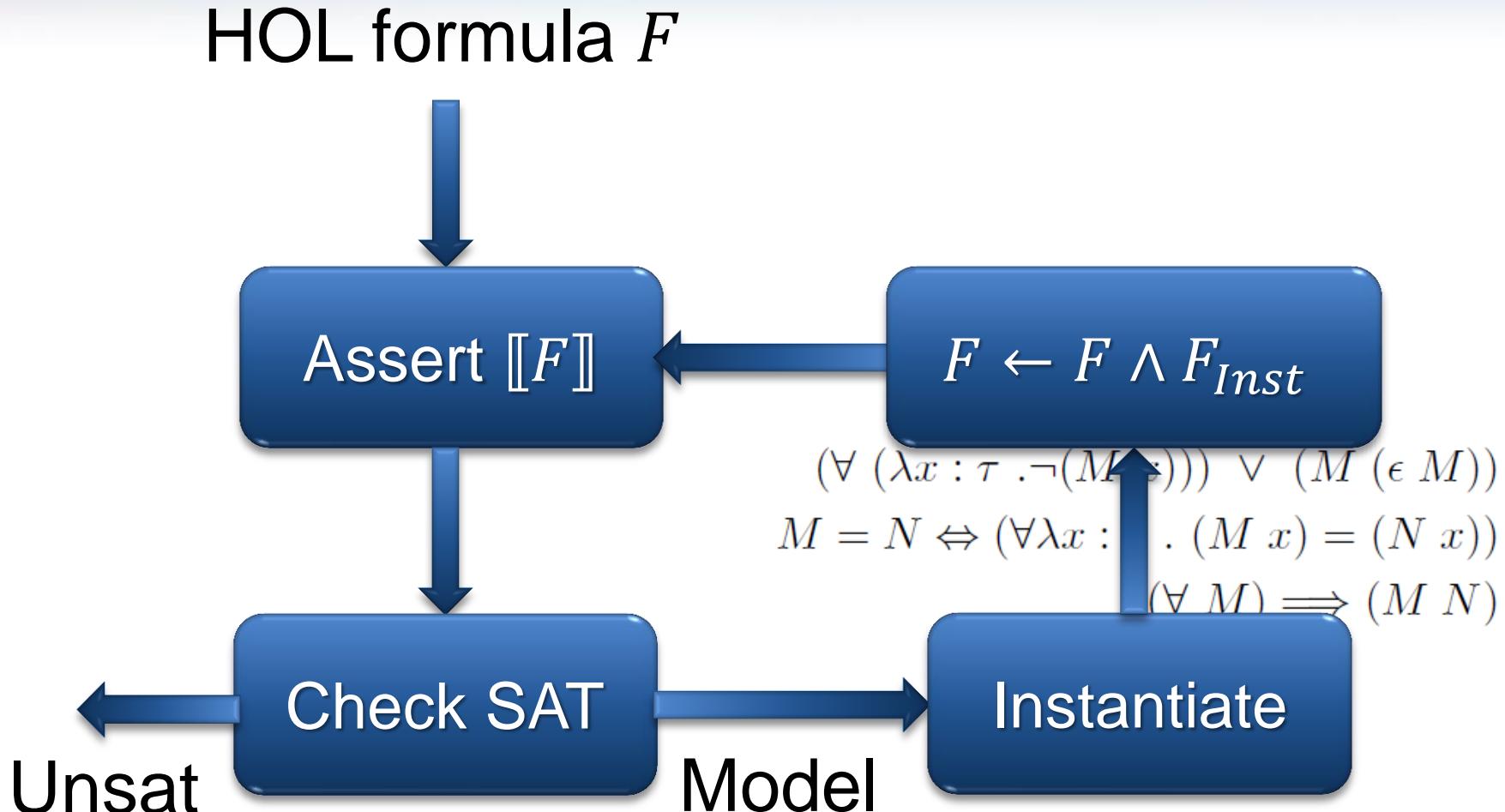
Constants

$$\begin{aligned} \textit{false} &: o & \Rightarrow &: o \rightarrow o \rightarrow o \\ \epsilon: (\tau \rightarrow o) &\rightarrow \tau, & \forall: (\tau \rightarrow o) &\rightarrow o, \\ && =: &\tau \rightarrow \tau \rightarrow o \end{aligned}$$

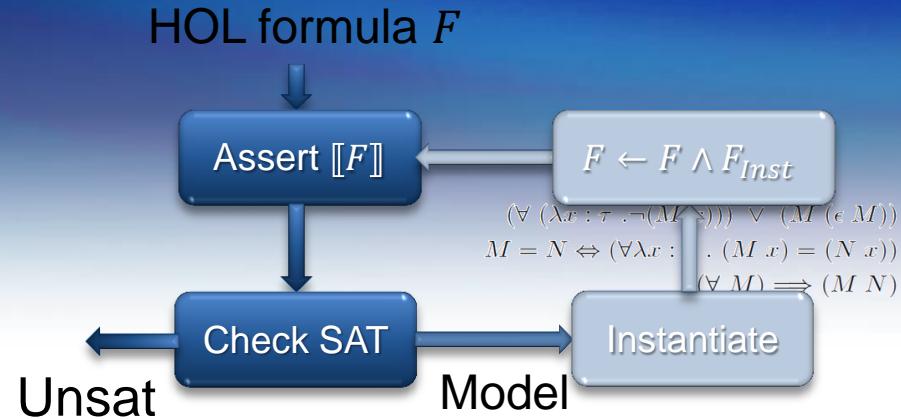
Axioms

$$\begin{aligned} (\forall (\lambda x : \tau . \neg(M\ x))) \vee (M(\epsilon M)) && \text{for every } M : \tau \rightarrow o \\ M = N \Leftrightarrow (\forall \lambda x : \tau . (M\ x) = (N\ x)) && \text{for every } M, N : \tau \rightarrow \tau' \\ (\forall M) \Longrightarrow (M\ N) && \text{for every } M : \tau \rightarrow o, N : \tau \end{aligned}$$

Lazy Saturation loop



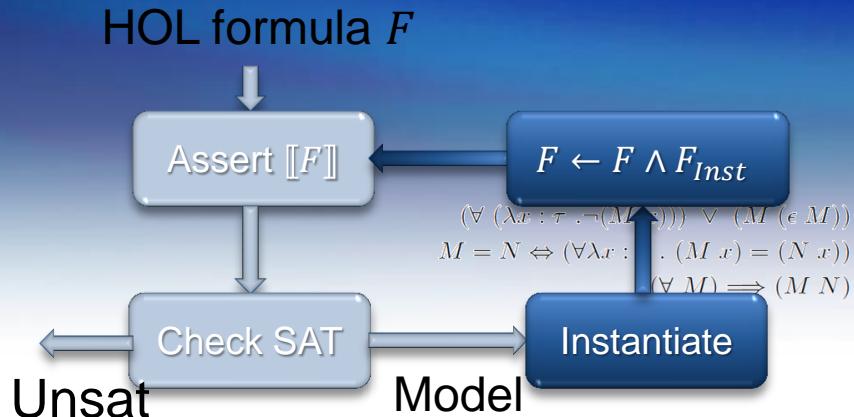
HOL \Rightarrow SMT



$\llbracket _ \rrbracket : HOL \rightarrow SMT$

- | | | |
|---|-------------------------|------------|
| $\llbracket (\forall M) \rrbracket = \lceil (\forall M) \rceil$ | Propositional reasoning | SAT |
| $\llbracket (\epsilon M) \rrbracket = \lceil (\epsilon M) \rceil$ | Equalities | |
| $\llbracket M \Rightarrow N \rrbracket = \llbracket M \rrbracket \Rightarrow \llbracket N \rrbracket$ | | SMT |
| $\llbracket M = N \rrbracket = \llbracket M \rrbracket = \llbracket N \rrbracket$ | Congruence Closure | |
| $\llbracket (M N) \rrbracket = \text{select}(\llbracket M \rrbracket, \llbracket N \rrbracket)$ | | |
| $\llbracket \lambda x : \tau . M \rrbracket = \lceil \lambda x : \tau . M \rceil$ | Extensional arrays | |
| $\llbracket f \rrbracket = f \quad \text{for constant } f$ | | |

$\beta\eta$ long NF



Set of $\beta\eta$ long NF terms with free variables from Γ of type τ

Enumerate $T[\Gamma; \tau]$ by depth:

$$(\lambda x : \tau . M) \in \mathcal{T}[\Gamma; \tau \rightarrow \tau'] \quad \text{if} \quad M \in \mathcal{T}[\Gamma, x : \tau; \tau']$$

$$(x M_1 \dots M_k) \in \mathcal{T}[\Gamma; \sigma] \quad \text{if} \quad (x : \bar{\tau} \rightarrow \sigma) \in \Gamma, \quad M_i \in \mathcal{T}[\Gamma; \tau_i]$$

Many more algorithms (matching, unification)/optimizations required for anything viable...
... but main task of Boolean search, equalities, functions is delegated

Conclusions

We surveyed three methods for adding new theories (logics) to Z3:

- As 1st class Theory Solver
- Eager reduction: embed theory in Z3
- Lazy reduction: add facts on demand

Choose one that fits your theory!

[[Zvonimir Rakamaric](#), Roberto Bruttomesso,
[Alan J. Hu](#), [Alessandro Cimatti](#): Verifying
Heap-Manipulating Programs in an SMT
Framework. [ATVA 2007](#): 237-252]

[Stan Rosenberg, Anindya Banerjee and
David Naumann. [Decision Procedures for](#)
[Region Logic](#). VMCAI 2012]

Theories vs. Problem Classes

Applications often generate problems with particular characteristics (many ground clauses/bit-vectors + predicates/arithmetic + transamentals/..)

New Z3 feature by de Moura & Passmore:

- Compose strategies using tactical interface.